	Case 1:20-cv-00696-ADA-CDB Document	23 Filed 02/16/23 Page 1 of 12
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8	UNITED STATES DISTRICT COURT	
9	EASTERN DISTRICT OF CALIFORNIA	
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11	MARDIK KEVIN MEKHTARIAN,	Case No. 1:20-cv-00696-CDB (PC)
12	Plaintiff,	THIRD SCREENING ORDER
13	v.	(Doc. 16)
14	C. ORTEGA, et al.,	FINDINGS AND RECOMMENDATIONS
15	Defendants.	TO DISMISS CLAIMS FOR DELIBERATE INDIFFERENCE,
16		FAILURE TO PROTECT, AND MEDICAL MALPRACTICE AGAINST
17		DEFENDANT ORTEGA AND TO DISMISS MILNES AS A DEFENDANT
18		FOURTEEN (14) DAY DEADLINE
19		
<ul><li>20</li><li>21</li></ul>		Clerk of Court to assign a district judge
22	Plaintiff Mardik Kevin Mekhtarian is a state prisoner proceeding pro se and in forma	
23	pauperis in this civil rights action brought under 42 U.S.C. § 1983. On March 3, 2021, this	
24	Court entered a second screening order finding the first amended complaint ("FAC") failed to	
25	state a claim and declined to exercise supplemental jurisdiction over the state law claims against	
26	Dr. Ortega for negligence and medical malpractice. The Court granted Plaintiff "one final	
27	opportunity to amend." (Doc. 15 at 6) (alteration in original) (citing <i>Noll v. Carlson</i> , 809 F.2d	
28	1446, 1448–49 (9th Cir. 1987)).	

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 2 of 12

On April 19, 2021, Plaintiff filed a second amended complaint<sup>1</sup> ("SAC") against medical professionals employed at Tehachapi State Prison. (Doc. 16.) For the following reasons, the Court finds that Plaintiff has stated a claim of medical indifference against Defendants Stephanie Brosius<sup>2</sup> and Does 1–10, and state law claim of gross negligence against Defendant Ortega. The SAC fails to state a claim against Regional Dental Director M. Milnes,<sup>3</sup> and he should be dismissed as a defendant. The Court further finds the SAC fails to state claims for failure to protect, deliberate indifference, and medical malpractice against Defendant Ortega, and the Court recommends dismissal of these claims.

#### I. SCREENING REQUIREMENT

The Court is required to screen complaints brought by prisoners seeking relief against a governmental entity or an officer or employee of a governmental entity. 28 U.S.C. § 1915A(a). The Court must dismiss a complaint or portion thereof if the prisoner raises claims that are frivolous or malicious, fail to state a claim on which relief may be granted, or seeks monetary relief from a defendant who is immune from such relief. 28 U.S.C. § 1915(e)(2)(B)(i)–(iii); 28 U.S.C. § 1915A(b). These provisions authorize the court to dismiss a frivolous *in forma pauperis* complaint *sua sponte*. *Neitzke v. Williams*, 490 U.S. 319, 322 (1989). Dismissal based on frivolousness is appropriate "only if the petitioner cannot make any rational argument in law or fact which would entitle him or her to relief." *Id.* at 322–23. The Court must dismiss a complaint if it lacks a cognizable legal theory or fails to allege sufficient facts to support a cognizable legal theory. *Balistreri v. Pacifica Police Dep't*, 901 F.2d 696, 699 (9th Cir. 1990) (citing *Robertson v. Dean Witter Reynolds, Inc.*, 749 F.2d 530, 533–34 (9th Cir. 1984)).

# II. PLEADING REQUIREMENTS

#### A. Federal Rule of Civil Procedure 8(a)

A complaint must contain "a short and plain statement of the claim showing that the pleader is entitled to relief." Fed. R. Civ. P. 8(a)(2). The statement must give the defendant fair

<sup>&</sup>lt;sup>1</sup> Plaintiff mis-styled this pleading as a first amended complaint. (Doc. 16.)

<sup>&</sup>lt;sup>2</sup> Plaintiff names Stephanie Brosius as a defendant on the first page of the SAC but not on the complaint form in the space allotted for naming Defendants. (*Id.* at 1, 3.)

<sup>&</sup>lt;sup>3</sup> Plaintiff names M. Milnes as a defendant within the SAC but not on the front page. (*Id.*)

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 3 of 12

notice of the plaintiff's claims and the grounds supporting the claims. *Swierkiewicz v. Sorema N. A.*, 534 U.S. 506, 512 (2002). Detailed factual allegations are not required, but "[t]hreadbare recitals of the elements of a cause of action, supported by mere conclusory statements, do not suffice." *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (citing *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 555 (2007)). Plaintiff must set forth "sufficient factual matter, accepted as true, to 'state a claim that is plausible on its face." *Id.* (quoting *Twombly*, 550 U.S. at 570). Factual allegations are accepted as true, but legal conclusions are not. *Id.* (citing *Twombly*, 550 U.S. at 555).

The Court construes pleadings of *pro se* prisoners liberally and affords them the benefit of any doubt. *Hebbe v. Pliler*, 627 F.3d 338, 342 (9th Cir. 2010) (citation omitted). This liberal pleading standard applies to a plaintiff's factual allegations but not to his legal theories. *Neitzke* 490 U.S. at 330 n.9. Moreover, a liberal construction of the complaint may not supply essential elements of a claim not pleaded by the plaintiff, *Bruns v. Nat'l Credit Union Admin.*, 122 F.3d 1251, 1257 (9th Cir. 1997) (internal quotation marks and citation omitted), and courts "are not required to indulge unwarranted inferences." *Doe I v. Wal-Mart Stores, Inc.*, 572 F.3d 677, 681 (9th Cir. 2009) (*Metzler Inv. GMBH v. Corinthian Colls., Inc.*, 540 F.3d 1049, 1064 (9th Cir. 2008)). The mere possibility of misconduct and facts merely consistent with liability is insufficient to state a cognizable claim. *Iqbal*, 556 U.S. at 678; *Moss v. U.S. Secret Serv.*, 572 F.3d 962, 969 (9th Cir. 2009).

## **B.** Linkage and Causation

Section 1983 provides a cause of action for the violation of constitutional or other federal rights by persons acting under color of state law. *See* 42 U.S.C. § 1983. To state a claim under section 1983, a plaintiff must show a causal connection or link between the actions of the defendants and the deprivation alleged to have been suffered by the plaintiff. *See Rizzo v. Goode*, 423 U.S. 362, 373-75 (1976). The Ninth Circuit has held that "[a] person 'subjects' another to the deprivation of a constitutional right, within the meaning of section 1983, if he does an affirmative act, participates in another's affirmative acts, or omits to perform an act which he is legally required to do that causes the deprivation of which complaint is made." *Johnson v.* 

Duffy, 588 F.2d 740, 743 (9th Cir. 1978) (citation omitted).

#### III. PLAINTIFF'S ALLEGATIONS<sup>4</sup>

At all times relevant to this action, plaintiff was a state inmate housed at California Correctional Institution ("CCI") in Tehachapi, California. On July 31, 2018, while performing a root canal on Plaintiff, Dr. C. Ortega dropped a sharp tool bit down Plaintiff's throat. The bit was a metal, needle-like object 2.5 centimeters long, with a 1-centimeter plastic handle. The object severely damaged Plaintiff's esophagus and gastric wall of his stomach.

Plaintiff was transported to San Joaquin Hospital, where Dr. Rejeev R. Manu performed emergency surgery to remove the dental tool. Plaintiff states that it took multiple attempts and damaged his esophagus. For two or three days after the procedure, Plaintiff felt a constant pain in his stomach, for which hospital staff gave him crushed ice.

From the time of his return to the prison on August 2, 2018, he did not receive his doctorordered Boost drinks, the only thing Plaintiff could consume. The nurse who first screened Plaintiff,
Stephanie Brosius, told Plaintiff his pain would subside and refused to give him medication.

According to Plaintiff, Nurse Brosius went to ask the doctor on duty, who came and told Plaintiff he
would not get pain meds and "[he] should be a man and stop crying." (Doc. 16 at 4.)

Plaintiff submitted several California Department of Corrections and Rehabilitation ("CDCR") 7362 Health Care Services Request forms and was seen on several dates by Does 1–10, who were doctors, registered nurses, and nurse practitioners. Each told Plaintiff that they knew he was going to sue CDCR or the dentist, so "[he] was going to suffer and would not be given any pain meds other than ibuprofen or Tylenol, and if that doesn't work, too bad." (Doc. 16 at 4.) Plaintiff alleges that the staff refused to give him their names, but he intends to obtain their names through discovery.

Plaintiff asserts claims against Dr. Ortega for failure to protect, deliberate indifference, gross negligence, and medical malpractice. Construing the complaint liberally, Plaintiff alleges that Brosius and Does 1–10 were deliberately indifferent to Plaintiff's complaints of pain.

<sup>&</sup>lt;sup>4</sup> The Court accepts Plaintiff's allegations in the complaint as true only for the purpose of the *sua sponte* screening requirement under 28 U.S.C. § 1915.

## Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 5 of 12

Plaintiff asserts that Milnes conducted the first level of review without interviewing or calling Plaintiff.<sup>5</sup>

#### IV. DISCUSSION

## A. Claims Against Dr. Ortega

#### 1. Medical Indifference

Plaintiff alleges that Dr. Ortega, by dropping the dental tool into Plaintiff's throat, displayed deliberate indifference, gross negligence, and medical malpractice.

The Eighth Amendment prohibits the infliction of cruel and unusual punishment. U.S. Const. amend VIII. The Eighth Amendment protects prisoners from inhumane methods of punishment and from inhumane conditions of confinement. *Farmer v. Brennan*, 511 U.S. 825 (1994); *Morgan v. Morgensen*, 465 F.3d 1041, 1045 (9th Cir. 2006). "After incarceration, only the 'unnecessary and wanton infliction of pain' . . . constitutes cruel and unusual punishment forbidden by the Eighth Amendment." *Ingraham v. Write*, 430 U.S. 651, 670 (1977) (quoting *Estelle v. Gamble*, 429 U.S. 97, 103 (1976)). No matter where prisoners are housed, prison officials have a duty to ensure that prisoners are provided adequate shelter, food, clothing, sanitation, medical care, and personal safety. *Johnson v. Lewis*, 217 F.3d 726, 731 (9th Cir. 2000) (quotation marks and citations omitted).

Where a prisoner's Eighth Amendment claims arise in the context of medical care, the prisoner must allege and prove "acts or omissions sufficiently harmful to evidence deliberate indifference to serious medical needs." *Estelle*, 429 U.S. at 106. An Eighth Amendment claim "includes 'both an objective standard—that the deprivation was serious enough to constitute cruel and unusual punishment—and a subjective standard—deliberate indifference." *Colwell v. Bannister*, 763 F.3d 1060, 1066 (9th Cir. 2014) (citation omitted). To meet the objective element of the standard, a plaintiff must demonstrate the existence of a serious medical need. *Estelle*, 429 U.S. at 104. "A 'serious' medical need exists if the failure to treat a prisoner's condition

<sup>&</sup>lt;sup>5</sup> Plaintiff alleges that S. Gates, Chief of the Health Care Correspondence and Appeals Branch, also conducted the second level of review without interviewing Plaintiff. Gates is not named as a defendant. (Doc. 16 at 2.)

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 6 of 12

could result in further significant injury or the 'unnecessary and wanton infliction of pain." *McGuckin v. Smith*, 974 F.2d 1050, 1059 (9th Cir. 1991), *overruled on other grounds by WMX Techs., Inc. v. Miller*, 104 F.3d 1133 (9th Cir. 1997) (en banc) (quoting *Estelle*, 429 U.S. at 104); *see also Jett v. Penner*, 439 F.3d 1091, 1096 (9th Cir. 2006).

The subjective element concerns the defendant's response to a prisoner's serious medical need. *McGuckin*, 974 F.2d at 1059-60. To act with deliberate indifference, a prison official must both be aware of facts from which the inference could be drawn that a substantial risk of serious harm exists, and he must also draw the inference. *Farmer*, 511 U.S. at 837. Thus, defendant is liable if he knows that plaintiff faces "a substantial risk of serious harm and disregards that risk by failing to take reasonable measures to abate it." *Id.* at 847. "It is enough that the official acted or failed to act despite his knowledge of a substantial risk of harm." *Id.* at 842.

To demonstrate deliberate indifference, a plaintiff must show that the course of treatment taken is "medically unacceptable under the circumstances and that the defendants chose this course in conscious disregard of an excessive risk to the plaintiff's health." *Hamby v. Hammond*, 821 F.3d 1085, 1092 (9th Cir. 2016) (citations and punctuation omitted); *accord Colwell v. Bannister*, 763 F.3d 1060, 1068 (9th Cir. 2014). "Deliberate indifference is a high legal standard. A showing of medical malpractice or negligence is insufficient to establish a constitutional deprivation under the Eighth Amendment." *Toguchi v. Chung*, 391 F.3d 1051, 1060 (9th Cir. 2004).

In applying this standard, the Ninth Circuit has held that before it can be said that a prisoner's civil rights have been abridged, "the indifference to his medical needs must be substantial. Mere 'indifference,' 'negligence,' or 'medical malpractice' will not support this cause of action." *Broughton v. Cutter Labs.*, 622 F.2d 458, 460 (9th Cir. 1980) (citing *Estelle*, 429 U.S. at 105–06). "[A] complaint that a physician has been negligent in diagnosing or treating a medical condition does not state a valid claim of medical mistreatment under the Eighth Amendment. Medical malpractice does not become a constitutional violation merely because the victim is a prisoner." *Estelle*, 429 U.S. at 106; *see also McGuckin*, 974 F.2d at 1060

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 7 of 12

(noting that neither an accident nor inadvertent failure to provide adequate medical care is actionable without a showing the defendant "purposefully" ignored or failed to respond to a prisoner's pain or possible medical need). Even gross negligence is insufficient to establish deliberate indifference to serious medical needs. *See Wood v. Housewright*, 900 F.2d 1332, 1334 (9th Cir. 1990).

Clearly, dropping a needle-like dental tool into Plaintiff's throat presents a serious medical need. Plaintiff states he learned from other staff that Dr. Ortega has dropped dental tools down inmates' throats before "and was allowed to continue to inure inmates there." (Doc. 16 at 4.) Even assuming this is true, Plaintiff has not demonstrated that Dr. Ortega performed or attempted to perform a root canal in conscious disregard of an excessive risk to Plaintiff's health. Plaintiff has also alleged that Dr. Ortega committed gross negligence and medical malpractice, which do not support a cause of medical indifference under the Eighth Amendment.

Accordingly, Plaintiff's Eighth Amendment claim against Dr. Ortega should be dismissed.

## 2. Failure to Protect

Under the Eighth Amendment, a prison official has a duty to intervene if he is aware that a fellow officer is violating a prisoner's constitutional rights. *Cunningham v. Gates*, 229 F.3d 1271, 1289 (9th Cir. 2000). In a "failure-to-protect" claim, a prisoner must show that a prison official's act or omission (1) was objectively, sufficiently serious, and (2) the official was subjectively, deliberately indifferent to inmate's health or safety. *Farmer*, 511 U.S. at 834; *Hearns v. Terhune*, 413 F.3d 1036, 1042 (9th Cir. 2005).

Plaintiff offers no factual allegations to support a claim that Ortega failed to protect him from another officer's constitutional violations. Accordingly, Plaintiff's failure to protect claim fails.

## 3. Gross Negligence

In addition to the constitutional claim, Plaintiff raises a state law claim for gross negligence against Dr. Ortega. "'Gross negligence' long has been defined in California and other jurisdictions as either a 'want of even scant care' or 'an extreme departure from the ordinary standard of conduct." *City of Santa Barbara v. Sup. Ct.*, 41 Cal.4th 747, 754 (Cal. 2007).

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 8 of 12

Plaintiff alleges that Dr. Ortega has dropped a sharp dental tool into a patient or patients previously, suggesting a culpability greater than mere negligence. Giving Plaintiff the benefit of all doubt, the allegations are sufficient to state a cognizable claim for gross negligence.

## 4. Medical Malpractice

Plaintiff also asserts a medical malpractice claim against Dr. Ortega. Under California law, the elements for professional negligence, such as medical malpractice, are "(1) the duty of the professional to use such skill, prudence, and diligence as other members of his profession commonly possess and exercise; (2) a breach of that duty; (3) a proximate causal connection between the negligent conduct and the resulting injury; and (4) actual loss or damage resulting from the professional's negligence." *Turpin v. Sortini*, 31 Cal.3d 220, 229–30 (1982). Physicians specializing in a medical area are "held to that standard of learning and skill normally possessed by such specialists in the same or similar locality under the same or similar circumstances." *Quintal v. Laurel Grove Hosp.*, 62 Cal.2d 154, 159–60 (1964).

The Court construes pleadings of *pro se* prisoners liberally and affords them the benefit of any doubt. *Hebbe v. Pliler*, 627 F.3d 338, 342 (9th Cir. 2010) (citation omitted). Even under a liberal construction of the complaint, however, the Court may not supply essential elements of a claim not pleaded by the plaintiff. *Bruns*, 122 F.3d at 1257. The possibility of misconduct and facts merely consistent with liability is insufficient to state a cognizable claim. *Iqbal*, 556 U.S. at 678; *Moss v. U.S. Secret Serv.*, 572 F.3d 962, 969 (9th Cir. 2009).

Such is the case in this instance. The facts are consistent with liability given the harm suffered by Plaintiff. He has sufficiently pleaded that Ortega's dropping of the dental tool was the proximate cause of his injury, and he suffered actual damage resulting from Ortega's actions. However, Plaintiff has failed to allege the first two elements of medical malpractice, that is, (1) the duty of the professional to use such skill, prudence, and diligence as other members of her profession commonly possess and exercise; (2) a breach of that duty. Because of this pleading defect, Plaintiff may not proceed on his medical malpractice claim.

## B. Medical Indifference Against Brosius and Does 1–10

Plaintiff also raises medical indifference claims against Nurse Brosius and unidentified

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 9 of 12

medical staff for their failure to treat Plaintiff's pain. According to Plaintiff, Nurse Brosius told him that the pain would subside and refused to give pain medication, and the doctor on duty told him to "be a man and stop crying." The unidentified defendants all said that they knew Plaintiff would sue CDCR or the dentist, Plaintiff "was going to suffer and would not be given any pain meds other than ibuprofen or Tylonol and if that doesn't work, too bad." (Doc. 16 at 4–5.) Plaintiff told them that the ibuprofen and Tylenol was not working, but he was denied additional medication and continued to suffer in pain.

The allegations of the complaint are sufficient to establish that Plaintiff had a serious medical need for treatment of the pain suffered by Plaintiff resulting from injuries to his esophagus and stomach. The statements allegedly made in response to Plaintiff's requests demonstrate a purposeful failure to respond to his pain, thereby causing Plaintiff continued pain. These allegations are sufficient to state a cognizable claim of deliberate indifference to Plaintiff's serious medical need under the Eighth Amendment.

#### C. Doe Defendants

Plaintiff names unidentified medical staff as "Does 1–10." However, these individuals must be named or otherwise identified more particularly before service can go forward. Although the use of Doe defendants is generally disfavored, "the plaintiff should be given an opportunity through discovery to identify the unknown defendants, unless it is clear that discovery would not uncover the identities . . . ." *Wakefield v. Thompson*, 177 F.3d 1160, 1163 (9th Cir. 1999) (quoting *Gillespie v. Civiletti*, 629 E.2d 637, 642 (9th Cir. 1980)).

Plaintiff is advised that Doe defendants cannot be served by the United States Marshal until Plaintiff has identified them as actual individuals and amended his complaint to substitute names for Does 1–3.<sup>6</sup> To effectuate service, the Marshal must be able to identify and locate defendants.

#### **D.** Grievance Process

Plaintiff alleges that Regional Dental Director Milnes conducted Plaintiff's first level

<sup>&</sup>lt;sup>6</sup> By separate order, the Court will address discovery of the Doe identities.

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 10 of 12

review without interviewing or calling him. However, Plaintiff cannot pursue any claims against prison staff based solely on the processing and review of his inmate appeals. Plaintiff does not have a constitutionally protected right to have his appeals accepted or processed. *Ramirez v. Galaza*, 334 F.3d 850, 860 (9th Cir. 2003); *Mann v. Adams*, 855 F.2d 639, 640 (9th Cir. 1988). The prison grievance procedure does not confer any substantive rights upon inmates and actions in reviewing appeals cannot serve as a basis for liability under section 1983. *Buckley v. Barlow*, 997 F.2d 494, 495 (8th Cir.1993); *see also Wright v. Shannon*, No. 1:05-cv-01485-LJO-YNP PC, 2010 WL 445203, at \*5 (E.D. Cal. Feb. 2, 2010) (plaintiff's allegations that prison officials denied or ignored his inmate appeals failed to state a cognizable claim under the First Amendment). Denial or refusal to process a prison grievance is not a constitutional violation. *Rushdan v. Gear*, No. 1:16-cv-01017-BAM (PC), 2018 WL 2229259, at \*6 (E.D. Cal. May 16, 2018), *aff'd*, 744 F. App'x 510 (9th Cir. Dec. 05, 2018).

Accordingly, Plaintiff fails to state a cognizable claim against Defendant Milne for his review of Plaintiff's administrative appeals.

# E. Amendment of Pleading

Under Rule 15 of the Federal Rules of Civil Procedure, the plaintiff may amend its pleading with the court's leave. Fed. R. Civ. P. 15(a)(2). The Rule provides that "[t]he court should freely give leave when justice so requires." *Id.* The United States Supreme Court has stated that "this mandate is to be heeded." *Lopez v. Smith*, 203 F.3d 1122, 1130 (9th Cir. 2000) (quoting *Foman v. Davis*, 371 U.S. 178, 182 (1962)). The intent of the rule is to "facilitate decision on the merits, rather than on the pleadings or technicalities." *Chudacoff v. Univ. Med. Center of S. Nev.*, 649 F.3d 1143, 1152 (9th Cir. 2011). Consequently, the "policy of favoring amendments to pleadings should be applied with 'extreme liberality." *United States v. Webb*, 655 F.2d 977, 979 (9th Cir. 1981).

A *pro se* litigant must be given leave to amend his or her complaint unless it is "absolutely clear that the deficiencies of the complaint could not be cured by amendment." *Akhtar v. Mesa*, 698 F.3d 1202, 1212 (9th Cir. 2012) (quoting *Schucker v. Rockwood*, 846 F.2d 1202, 1203–04 (9th Cir. 1988) (per curiam) (internal quotation marks omitted)). However, the

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 11 of 12

district court may exercise its discretion to deny amendment for reasons "such as undue delay, bad faith or dilatory motive on the part of the movant, repeated failure to cure deficiencies by amendments previously allowed, undue prejudice to the opposing party by virtue of allowance of the amendment, futility of amendment." *Foman*, 371 U.S. at 182.

In this case, the Court screened Plaintiff's complaint and first amended complaint, identified the deficiencies in his pleadings, and afforded Plaintiff opportunities to correct the deficiencies. Plaintiff took advantage of each opportunity to amend. In the SAC, Plaintiff was able to correct some of the deficiencies previously identified by the Court, and the case may proceed on the cognizable claims discussed herein.

In the second screening order, the Court granted Plaintiff "one final opportunity to amend." (Doc. 15 at 6 (alteration in original).) Because of this admonishment and the repeated failure to cure deficiencies identified, the Court concludes any further attempt to amend would be futile and, accordingly, will not grant Plaintiff leave to file another amended complaint, and the non-cognizable claims should be dismissed.

## V. CONCLUSION

Accordingly, it is HEREBY RECOMMENDED:

- 1. Plaintiff's claims against Defendant Ortega for failure to protect, deliberate indifference, and medical malpractice be dismissed;
- 2. The claims against Defendant Milnes be dismissed; and
- 3. This case be allowed to proceed on claims of medical indifference against Defendants Brosius and Does 1–10, and state law claim of gross negligence against Defendant Ortega.

These Findings and Recommendations will be submitted to the United States District Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(l). Within 14 days after being served with these Findings and Recommendations, the parties may file written objections with the Court. The document should be captioned, "Objections to Magistrate Judge's Findings and Recommendations." The parties are advised that failure to file objections within the specified time may result in the waiver of rights on appeal. *Wilkerson v. Wheeler*, 772 F.3d 834,

# Case 1:20-cv-00696-ADA-CDB Document 23 Filed 02/16/23 Page 12 of 12 839 (9th Cir. 2014) (citing Baxter v. Sullivan, 923 F.2d 1391, 1394 (9th Cir. 1991)). IT IS SO ORDERED. Dated: **February 15, 2023** UNITED STATES MAGISTRATE JUDGE